

3-9 control limits

Let θ be the quality characteristic of an item manufactured. Let u_1, u_2, \dots, u_n be a random sample of size n drawn from the given process and let T be the corresponding statistics computed from the sample such that $E(T) = \mu_T$ and $V(T) = \sigma_T^2$. If the process is under control, T will remain more or less same from sample to sample and hence the variations in the values of T from sample to sample is due to chance causes.

If T is normally distributed with mean μ_T and var. σ_T^2 then

$$P(|T - \mu_T| \leq 3\sigma_T) = 0.9973$$

$$\text{or } P(\mu_T - 3\sigma_T \leq T \leq \mu_T + 3\sigma_T) = 0.9973$$

Even when T is non-normal we have from Chebyshev's inequality

$$P(\mu_T - 3\sigma_T \leq T \leq \mu_T + 3\sigma_T) \geq \frac{8}{9}$$

Thus, if the observe T_i lies between the limits $\mu_T - 3\sigma_T$ and $\mu_T + 3\sigma_T$, it is taken to be a fairly good indication of the non-existence of assignable causes of variation at the time when the i th sample was taken. If the observe T_i falls outside the limits, one suspects the existence of assignable causes and the process is supposed to be out of control.

$\mu_T + 3\sigma_T$ is called the upper control limit and $\mu_T - 3\sigma_T$ is called the lower control limits.

Types of control Chart :-

Control charts can be classified into two categories -

(i) Control chart for variables :-

These variables are quality characteristics that can be measured and expressed in numbers. There are 3 commonly used control charts for variables.

(a) Mean chart or \bar{x} -chart.

(b) Range chart or R-chart.

(c) Standard deviation chart or σ -chart.

(ii) Control Charts for attributes :-

Attributes usually refer to the classification of a quality characteristic into any one of two classes, either confirming or not-confirming to specifications as in acceptance or rejection by sampling plans.

There are 3-types of control chart for attributes -

(a) Control chart for fraction defective (or proportion of defectives) or P-chart.

(b) Control chart for the no- of defectives or np chart.

(c) Control chart for the no of defects per unit or C-chart.

Control chart for mean or \bar{x} -chart :-

A control chart for mean or \bar{x} -chart is the control chart on which the means of the samples drawn from a production process at equal intervals of time are plotted as points. It indicates the fluctuation of the means of the samples about the mean of the process. \bar{x} -chart is used to determine whether this fluctuation are due to chance causes or assignable causes.

The construction of \bar{x} -chart involves the following steps -

(i) Measurement - The first step in the construction of \bar{x} -chart is to take measurement on the sample unit selected at random from the product of the process. Attempts should be made to minimize the errors in measurements.

(ii) Selection of samples or subgroup:-

The sample observations are taken at regular intervals of time and are classified into k -subgroups having $n_1, n_2, n_3, \dots, n_k$ obs. respectively. The subgroups are called rational subgroups. If possible the rational subgroups should be of equal size. In practice 25 samples of size 4 each or 20 samples of size 5 each are taken.

(iii) Calculation of mean, range and SD for each sample:-

Let \bar{x}_i, R_i and S_i denote respectively the mean, range and SD

of the i -th sample. We find \bar{u}_i , \bar{R} and \bar{S} as follows

$$\bar{u} = \frac{\bar{u}_1 + \bar{u}_2 + \dots + \bar{u}_k}{k}$$

$$\bar{R} = \frac{R_1 + R_2 + \dots + R_k}{k}$$

$$\bar{S} = \frac{S_1 + S_2 + \dots + S_k}{k}$$

(iv) Computation of control limits :- we

assume that the quality characteristics $X \sim N(\mu, \sigma^2)$. Then μ is the process mean and σ is the process SD. The sample mean $\bar{u} \sim N(\mu, \frac{\sigma^2}{n})$

i.e., $E(\bar{u}) = \mu$ and $S.E.(\bar{u}) = \frac{\sigma}{\sqrt{n}}$

Hence the control chart for \bar{u} will be given by

$$LCL = \mu - 3\frac{\sigma}{\sqrt{n}} = \mu - A\sigma$$

$$CL = \mu$$

$$UGL = \mu + 3\frac{\sigma}{\sqrt{n}} = \mu + A\sigma$$

$$\text{where } A = \frac{3}{\sqrt{n}}$$

Care - 1

standards given :- If the values for μ and σ are specified as μ_i

and σ' , the control chart for \bar{u} will be given by

$$LCL = \bar{u}' - A\sigma'$$

$$CL = \bar{u}'$$

$$UCL = \bar{u}' + A\sigma'$$

$$\text{where } A = \frac{3}{\sqrt{n}}$$

Case - 2: Standard not given :- If μ and σ are not known then we use these estimates provided by the k -samples drawn.

$$\text{The relations } E(\bar{u}) = \mu$$

$$E(\bar{R}) = d_2 \sigma$$

$$E(\bar{S}) = c_2 \sigma$$

(c_2 and d_2 are const. depending on the sample size n)

Provide us an estimate with an estimate of μ and 2-alternative estimates of σ namely $\hat{\mu} = \bar{u}$ — (1)

$$\hat{\sigma} = \frac{\bar{R}}{d_2} \text{ — (2)}$$

$$\text{and } \hat{\sigma} = \frac{\bar{S}}{c_2} \text{ — (3)}$$

In case one uses the estimates
 ① and ②, the chart for \bar{x} will
 be based on

$$LCL = \bar{\bar{x}} - \frac{3}{\sqrt{n}} \frac{\bar{R}}{d_2} = \bar{\bar{x}} - A_2 \bar{R}$$

$$CL = \bar{\bar{x}}$$

$$UCL = \bar{\bar{x}} + \frac{3}{\sqrt{n}} \frac{\bar{R}}{d_2} = \bar{\bar{x}} + A_2 \bar{R}$$

$$\left(\text{Where } A_2 = \frac{3}{\sqrt{n} d_2} \right)$$

On the other hand if ① uses the
 estimates ① and ③ the chart for
 \bar{x} will be given by

$$LCL = \bar{\bar{x}} - \frac{3}{\sqrt{n}} \cdot \frac{\bar{S}}{c_2} = \bar{\bar{x}} - A_1 \bar{S}$$

$$CL = \bar{\bar{x}}$$

$$UCL = \bar{\bar{x}} + \frac{3}{\sqrt{n}} \cdot \frac{\bar{S}}{c_2} = \bar{\bar{x}} + A_1 \bar{S}$$

$$\left(\text{Where } A_1 = \frac{3}{c_2 \sqrt{n}} \right)$$

(V) Construction of \bar{x} -chart :- To

draw the \bar{x} -chart on a graph
 paper we represent the sample
 nos on a horizontal scale at
 the bottom of the control chart.
 and the statistic (\bar{x}) along the

vertical scale. Sample means \bar{u}_1, \bar{u}_2
--- \bar{u}_k are then plotted as
dots against the k -sample nos.

The central line is drawn as
dark horizontal line. The UCL and
LCL are drawn as dotted horizontal
line at the computed values.

(vi) Interpretation of \bar{u} -chart:- If
all the points plotted fall
within the control limits, then
we say that the process is
in a state of statistical control.
But - if one or more points fall
outside the two dotted lines,
it indicates that the process
is out of control, and other
assignable causes are to be traced
out. Also any trend depicted by
the plotted point indicates presence
of assignable causes. Sometimes
the plotted point show a cyclic
pattern. This is an indication of
assignable causes. Once the special causes

reports the presence of assignable causes, the job of finding out the causes is left to the production engineer.